

# On domain algebras

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# Collaborators



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# I. Motivation and Examples

II. The Main Results

III. Applications

IV. Technical Details

V. The Topological View and Generalisation

VI. Open Problems

# Presentations

- Groups:  $G = \{a, b\}$ ,  $a^2 = b^2 = e$ ,  $ab = ba$

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**Question:** Can the same be done for dcpos?

**Two problems:** “Directed supremum” has unbounded arity and is partial.

## Free complete lattices

### **Theorem. [Hales, 1964]**

*The free complete lattice on three generators does **not** exist.*

### **Theorem. [Gaifman, Hales, 1964]**

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### **Theorem. [Bénabou, 1958]**

*The free frame on any set of generators exists.*

### **Theorem. [Johnstone, 1972]**

*Any presentation by generators and relations (a **site**) defines a frame.*

## Free complete lattices — cont'd

Note that

$$V = V^{\uparrow} V$$

## Free complete lattices — cont'd

Note that

$$\bigvee = \bigvee^\uparrow \bigvee$$

So instead of describing the frame operations as

$$\bigvee, \bigwedge$$

we will see them as

$$\bigvee^\uparrow, \bigvee, \bigwedge$$

and we will argue that free frames exist because they can be constructed as **dcpo completions of free distributive lattices**.



# The bitopological view of Stone Duality

A careful analysis of the various classical Stone dualities shows that the **logical operations**

$$\vee, \wedge, \text{tt}, \text{ff}$$

are **orthogonal** to the approximation of predicates by **partial predicates**:

$$\perp, \sqcup^\uparrow$$

(Jung and Moshier, 2006)

# Domain and dcpo algebras

**Definition.** A *domain* is a continuous dcpo, i.e., a dcpo in which for all  $x$ ,

$$x = \bigsqcup^{\uparrow} \downarrow x$$

**Theorem. [Jung and Abramsky, 1994]**

Free *domain algebras* over domains exist for all finitary signatures and systems of inequalities.

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**Theorem.** Free *dcpo algebras* over dcpos exist for all finitary signatures and systems of inequalities.

**Proof.** An application of *Freyd's Adjoint Functor Theorem*.

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# DCPO presentations

**Definition.** A dcpo presentation consists of

- a set  $P$  of generators
- a preorder  $\sqsubseteq$  on  $P$
- a subset  $C$  of  $P \times \mathcal{P}P$ , whose elements are called covers and written  $[a \triangleleft U]$ , subject to the requirement that  $U$  is directed with respect to  $\sqsubseteq$

Write this as a triple  $(P; \sqsubseteq, C)$ .

# The category DCPO-pres of DCPO presentations

**objects** are dcpo presentations

**morphisms**  $f: (P; \sqsubseteq, C) \rightarrow (P'; \sqsubseteq', C')$  are

monotone maps from  $(P; \sqsubseteq)$  to  $(P'; \sqsubseteq')$  such that

$$[a \triangleleft U] \in C \quad \Rightarrow \quad [fa \triangleleft fU] \in C'$$

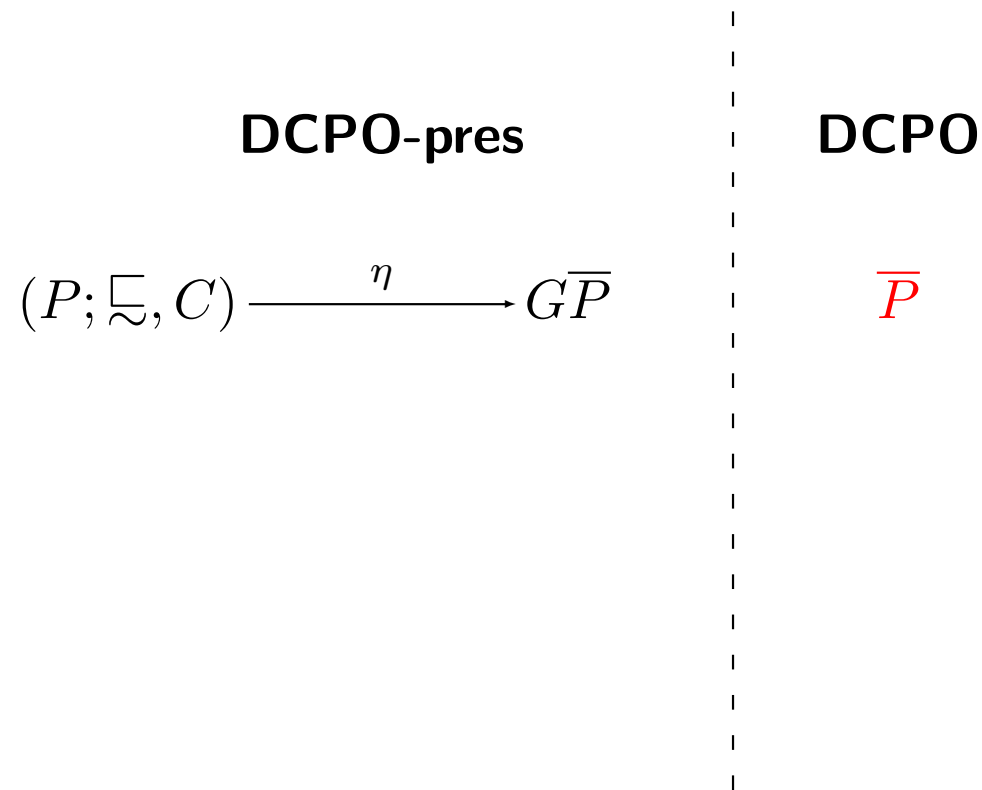
## From DCPO to DCPO presentations

For every dcpo  $D$  we can define a canonical presentation by setting

- generators:  $D$
- preorder:  $\sqsubseteq$
- covers: all  $[a \triangleleft U]$  where  $a \sqsubseteq \bigsqcup^\uparrow U$

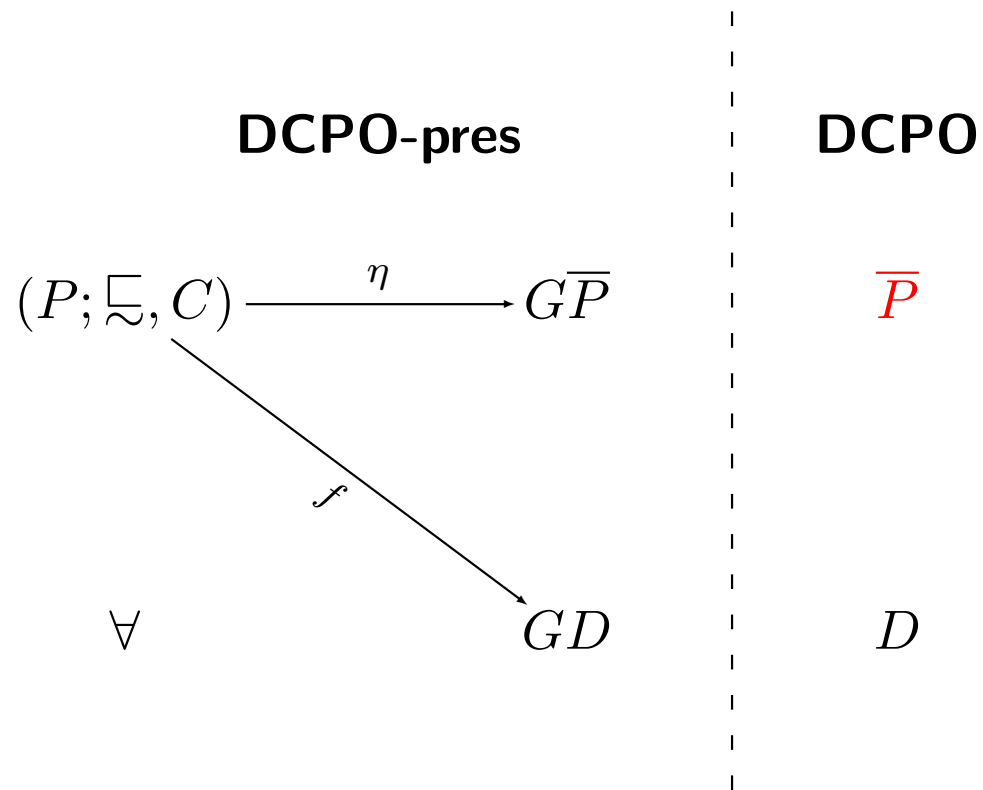
This defines a functor  $G$  from **DCPO** to **DCPO-pres.**

# The universal property

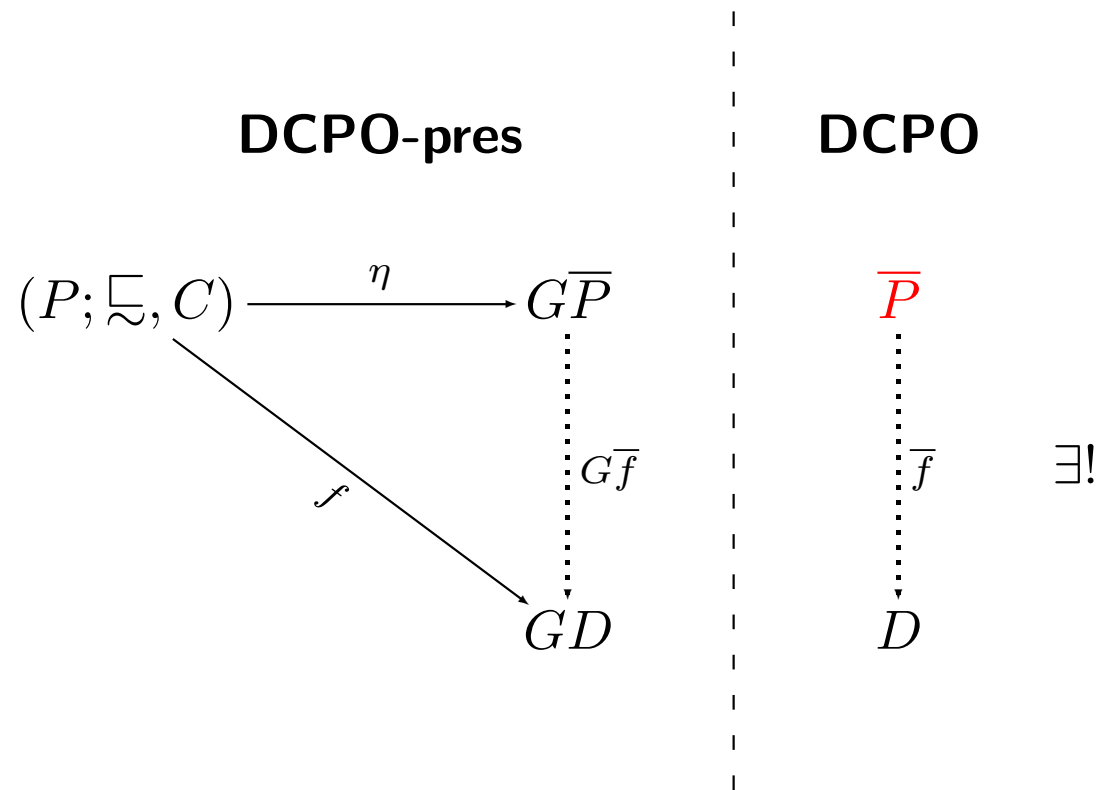




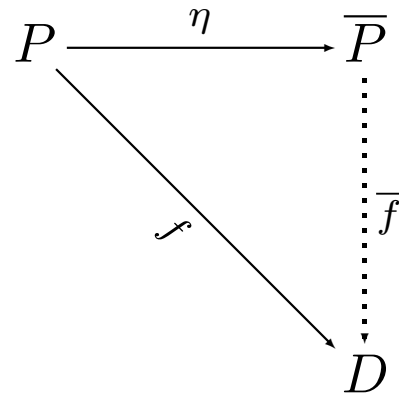
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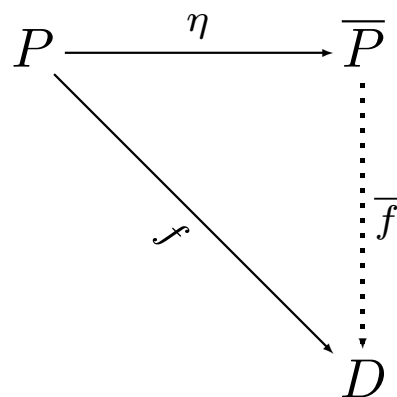
# The universal property



## The universal property — simplified



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The maps  $\eta$  and  $f$  convert covers to joins in the sense that for every  $[a \triangleleft U]$  in  $C$

$$\eta a \sqsubseteq \bigsqcup^{\uparrow} \eta U \quad \text{and} \quad f a \sqsubseteq \bigsqcup^{\uparrow} f U$$

# Theorem 1

*DCPO presentations present.*

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or

*The functor  $G$  has a left adjoint.*

# Algebras

- $\Omega$ : a set of operation symbols
- $\alpha: \Omega \rightarrow \mathbb{N}$ : an arity function (i.e., each operation is of finite arity)
- A **preordered algebra** w.r.t.  $\Omega$  is given by
  - a preorder  $P$  as the carrier, and
  - order-preserving operations  $\omega_P: P^{\alpha(\omega)} \rightarrow P$

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- A **dcpo algebra** w.r.t.  $\Omega$  is given by
  - a dcpo  $D$  as the carrier, and
  - Scott-continuous operations  $\omega_D: D^{\alpha(\omega)} \rightarrow D$



# Completing preordered algebras

**Definition.** A *dcpo algebra presentation* consists of:

- a set  $P$  of generators
- a preorder  $\sqsubseteq$  on  $P$
- an order-preserving operation  $\omega_P$  for each  $\omega \in \Omega$
- a set  $C$  of covers, subject to the *stability* condition

$$[a \triangleleft U] \in C \quad \Rightarrow \quad [\omega_P(-, a, -) \triangleleft \omega_P(-, U, -)] \in C$$

(Coordinatewise preservation of covers)

## Theorem 2

Given a dcpo algebra presentation  $(P; \Omega_P, \sqsubseteq, C)$   
let  $\bar{P}$  be the dcpo presented by the **reduct**  $(P; \sqsubseteq, C)$   
(according to Theorem 1).

Then  $\bar{P}$  carries Scott-continuous operations  $\bar{\omega}$  for each  $\omega \in \Omega$ ,  
i.e., it is an **dcpo  $\Omega$  algebra**.

Furthermore,  $P \rightarrow \bar{P}$  is **universal**.

## Algebras: inequations

- An **inequation** is given by a pair of  $\Omega$  terms over a set  $X$  of variables, written as  $t_1 \sqsubseteq t_2$ .
- An inequation  $t_1 \sqsubseteq t_2$  is **valid** in a preordered algebra  $D$  if the associated term functions satisfy  $f_{t_1} \sqsubseteq f_{t_2}$ .

## Theorem 3

Given a dcpo algebra presentation  $(P; \Omega_P, \sqsubseteq, C)$ , the free algebra  $(\bar{P}; \Omega_{\bar{P}}, \sqsubseteq)$  constructed in Theorem 2 satisfies all the inequations satisfied by  $P$ .

# Summary

## **Theorem 1.**

*Every dcpo presentation can be “completed” to a dcpo in a universal way.*

## **Theorem 2.**

*Algebraic operations “lift” to the completion.*

## **Theorem 3.**

*The lifting of operations preserves all (in)equations.*

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on  $E$  consider

$$\sim := \{(fx, gx) \mid x \in D\}$$

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$$\sim := \{(fx, gx) \mid x \in D\}$$

and

$\approx :=$  the equivalence relation generated by  $\sim$

but

$E/\approx$  is not a dcpo, in fact, not even preordered

## Colimits in DCPO — cont'd

The problem is resolved by using a suitable dcpo presentation:

**generators:** elements of  $E$

**covers:** all pairs  $(fx, \{gx\})$  and  $(gx, \{fx\})$

all pairs  $(a, U)$  where  $a \sqsubseteq \bigsqcup^\uparrow U$  in  $E$ .

The coequalizer is given as the free dcpo constructed from this presentation via Theorem 1.

## Free dcpo algebras

We know by *Freyd's Adjoint Functor Theorem* that these exist.

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Our results give an alternative (and more concrete) construction:

- Construct the free *preordered algebra* over the given dcpo considered as a preordered set.  
(This is easy: Take the term algebra and construct the smallest congruence-preorder that contains the order on the generators and all instances of inequations.)
- Then complete the preorder using the technique from Theorem 1, encoding the directed suprema of the given dcpo in the covers.

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Given a set  $G$  of generators and a set  $E$  of frame inequations, we construct the corresponding frame in three stages:

**Stage 1:** Construct the free **distributive lattice**  $D(G)$ .

**Stage 2:** Normalize the given frame inequalities into the form

$$s \leq \bigvee^{\uparrow} t_i$$

where  $s, t_i$  are elements of  $D(G)$ .

This uses frame distributivity and the split of  $\bigvee$  into  $\bigvee^{\uparrow}$  and  $\bigvee$ .

## Frame presentations — cont'd

**Stage 3:** Set up the dcpo algebra presentation

$$(D(G); \leq, \{\vee, \wedge, 0, 1\}, C)$$

where  $C$  contains the cover  $[s \triangleleft \{t_i \mid i \in I\}]$  for each inequation  $s \leq \bigvee^\uparrow t_i$ .

The resulting dcpo algebra is the desired frame, because...

## Frame presentations — cont'd

The extended lattice operations are still **distributive**, by Theorem 3. They also distribute over  $\bigvee^\uparrow$  because they are Scott-continuous by Theorem 2. Together this amounts to **frame distributivity**.

The dcpo order **coincides** with the order derived from the extended lattice operations; show that  $\wedge$  computes the largest lower bound:

$$x \wedge y \sqsubseteq x$$

holds because inequations remain true. If  $z$  is a lower bound for  $x, y$ , then

$$z = z \wedge z \sqsubseteq x \wedge y$$

because inequations remain true and the extended operations are order-preserving.

# Classtest!

So what exactly is the difference between the first two theorems and the last two?

**Theorem. [Hales, 1964]**

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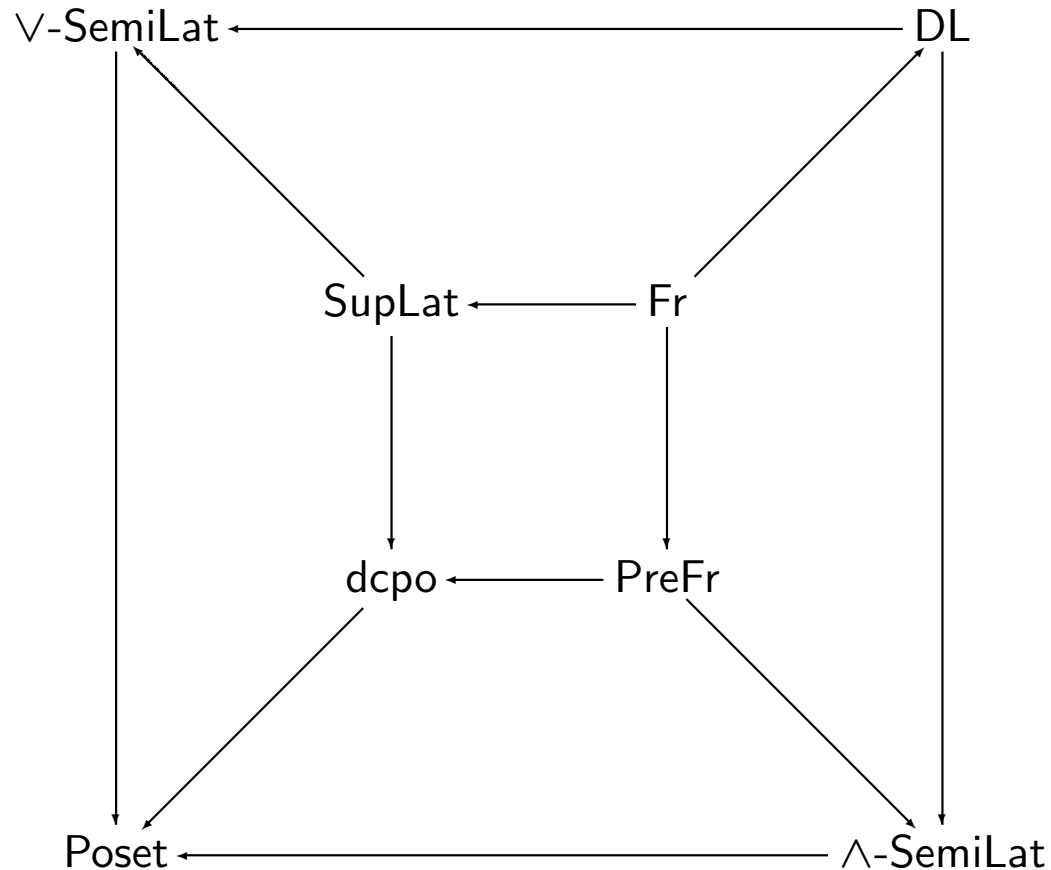
**Theorem. [Bénabou, 1958]**

*The free frame on any set of generators exists.*

**Theorem. [Johnstone, 1972]**

*Any presentation by generators and relations (a **site**) defines a frame.*

## Steve's "coverage theorems"



Every arrow denotes a forgetful functor.

Each of these has a left adjoint.

The left adjoints to the four "diagonal" functors are all constructed as dcpo completions of ordered algebras.

## Canonical extensions

Although our construction is **one-sided** (since it provides suprema for upward directed subsets), it can be used to give an account of the **canonical extension** of a distributive lattice as a two-step completion process. The availability of **covers** is crucial.

M. Gehrke and J. Vosmaer. Canonical extension and canonicity via DCPO presentations. *Theoretical Computer Science*, 412:2714–2723, 2011.

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# Proof of Theorem 1

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Given a DCPO presentation  $(P; \sqsubseteq, C)$ , a *C-ideal* is a lower set  $I$  of  $P$  such that

$$a \in I \quad \text{whenever} \quad U \sqsubseteq I$$

for all covers  $[a \triangleleft U]$  in  $C$ .

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Given a DCPO presentation  $(P; \sqsubseteq, C)$ , a  **$C$ -ideal** is a lower set  $I$  of  $P$  such that

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for all covers  $[a \triangleleft U]$  in  $C$ .

This defines a closure system on  $\mathcal{P}P$  and so the set of  $C$ -ideals forms a **complete lattice  $C\text{-Idl}(P)$** . The supremum in  $C\text{-Idl}(P)$  is union followed by  $C$ -ideal closure.

# Proof of Theorem 1 — cont'd

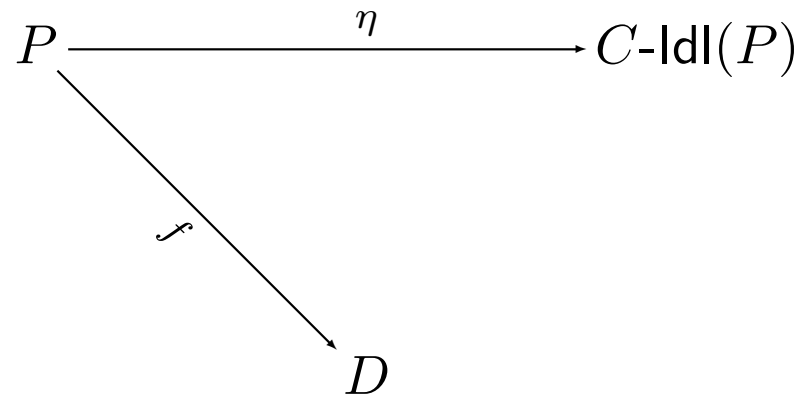
## Proof of Theorem 1 — cont'd

$$P \xrightarrow{\eta} C\text{-Idl}(P)$$

$\eta(x) := \langle x \rangle$  the  $C$ -ideal closure of  $\{x\}$

Note that  $\eta$  converts covers to joins in  $C\text{-Idl}(P)$ .

## Proof of Theorem 1 — cont'd



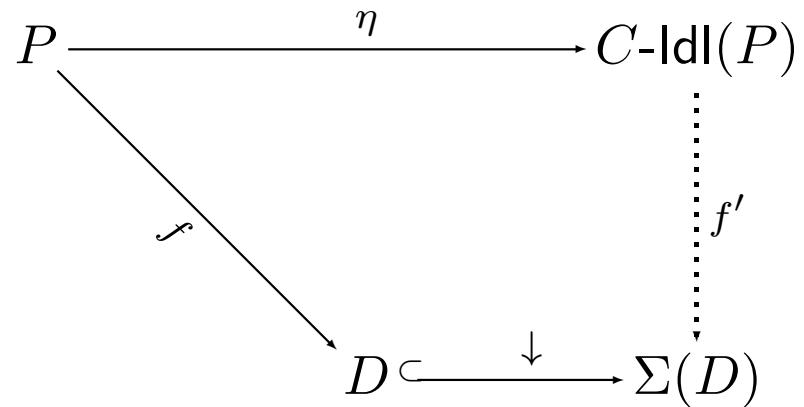
Unfortunately,  $D$  is only a dcpo.

## Proof of Theorem 1 — cont'd

$$\begin{array}{ccc} P & \xrightarrow{\eta} & C\text{-Idl}(P) \\ & \searrow f & \\ & & D \subset \xrightarrow{\downarrow} \Sigma(D) \end{array}$$

$\Sigma(D)$  is the complete lattice of Scott-closed subsets of  $D$ , and  $\downarrow$  maps elements to their principal ideal  $\downarrow x$ .

## Proof of Theorem 1 — cont'd



**Lemma.**  $C\text{-Idl}(P)$  is the free sup-lattice generated by  $(P; \sqsubseteq, C)$ .



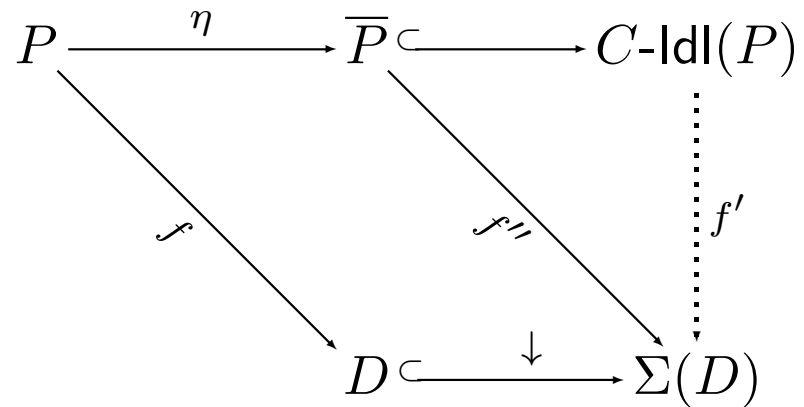
## Proof of Theorem 1 — cont'd

$$\begin{array}{ccccc} P & \xrightarrow{\eta} & \overline{P} \subset & \longrightarrow & C\text{-Idl}(P) \\ & \searrow f & & & \vdots f' \\ & & D \subset & \xrightarrow{\downarrow} & \Sigma(D) \end{array}$$

$\overline{P}$  is the smallest sub-dcpo of  $C\text{-Idl}(P)$  containing  $\eta P$ .

The directed sups in  $\overline{P}$  are the sups of  $C\text{-Idl}(P)$ .

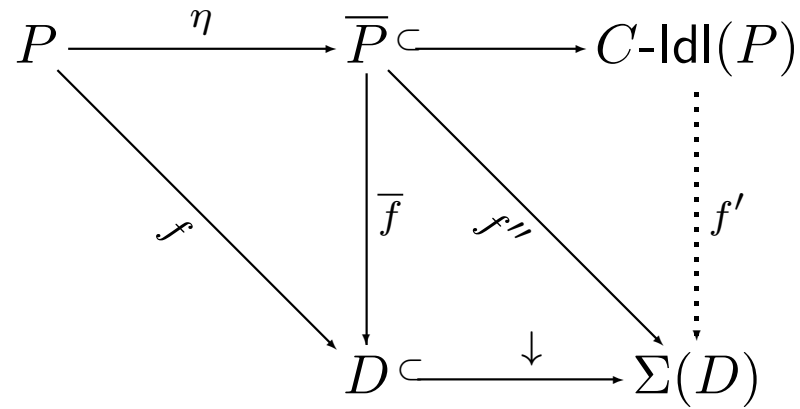
## Proof of Theorem 1 — cont'd



$f''$  is just the restriction of  $f'$  to  $\overline{P}$ .

It is Scott-continuous.

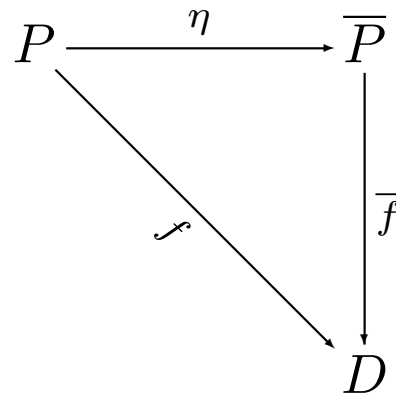
## Proof of Theorem 1 — cont'd



Using

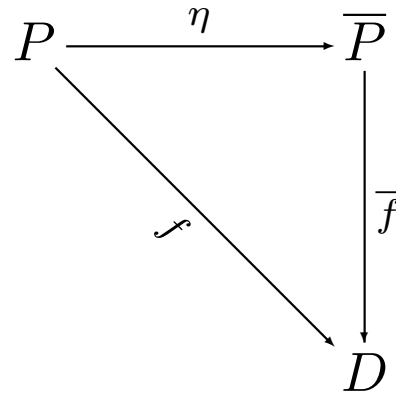
- $\text{im} \downarrow$  is a sub-dcpo of  $\Sigma(D)$
- $f'(\text{im} \eta) \subseteq \text{im} \downarrow$
- $f'^{-1}(\text{im} \downarrow)$  is a sub-dcpo of  $C\text{-Idl}(P)$ , hence  $\overline{P} \subseteq f'^{-1}(\text{im} \downarrow)$
- Together this gives that  $\text{im} f'' \subseteq \text{im} \downarrow$
- $\downarrow$  is a Scott-continuous injection

## Proof of Theorem 1 — cont'd



...which is the required universal property.

## Proof of Theorem 1 — cont'd



**NB:** This is essentially the same construction as in  
Johnstone, Vickers: *Preframe presentations present*, 1991.

## An analysis of the presented dcpo

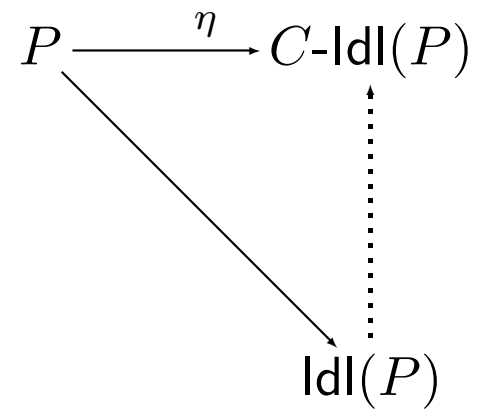
$\overline{P} \subseteq C\text{-Idl}(P) =$  the set of  $C$ -ideals

Question. Which  $C$ -ideals belong to  $\overline{P}$ ?

## (Transfinitely) iterated ideal completion

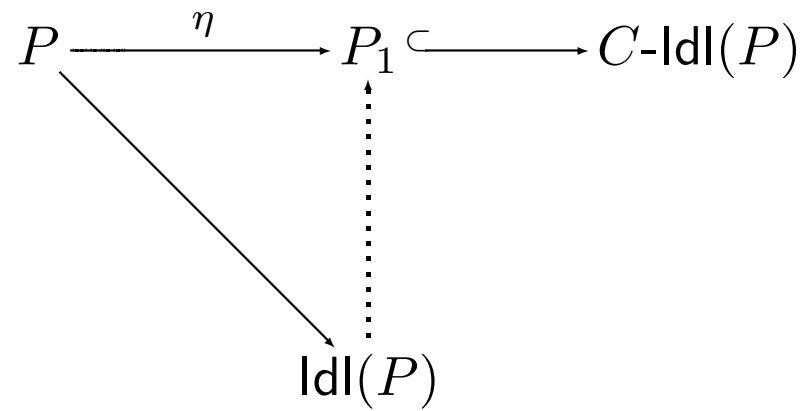
$$P \xrightarrow{\eta} C\text{-Idl}(P)$$

## (Transfinitely) iterated ideal completion

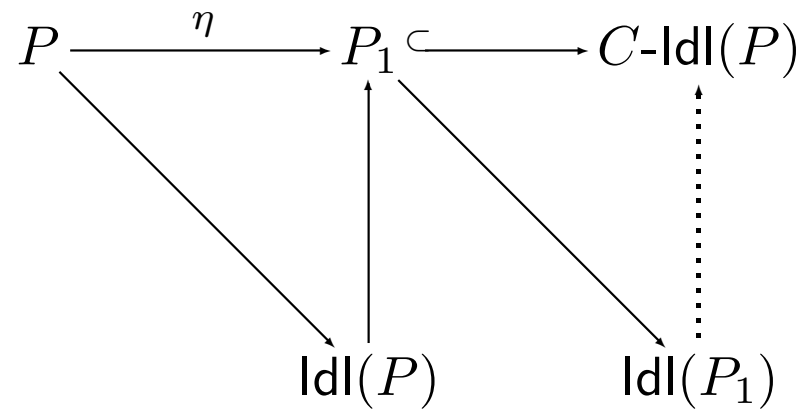




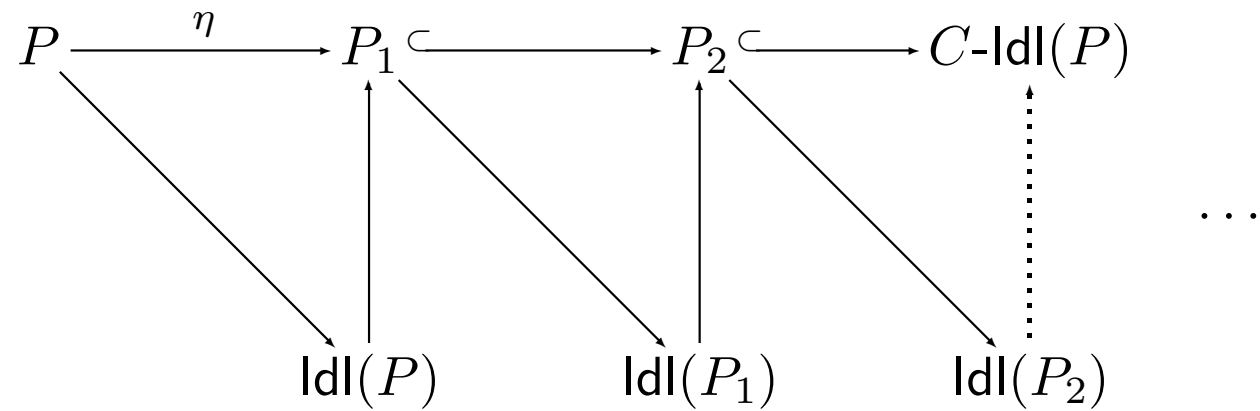
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## The algebra part

The essential core of Theorems 2 and 3 is the following:

**Proposition.** *The left adjoint functor from **DCPO-pres** to **DCPO** preserves finite products.*

**Proof.** Induction over the number of factors.

**Note.** For this to be meaningful, we need to extend the notion of morphism in **DCPO-pres**.

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## Nota bene

All results in this part are due to Klaus Keimel and Jimmie Lawson:

- K. Keimel and J. D. Lawson.  $D$ -completions and the  $d$ -topology. *Annals of Pure and Applied Logic*, 159:292–306, 2008
- K. Keimel and J. D. Lawson. Extending algebraic operations to  $D$ -completions. *Theoretical Computer Science*, 430:73–87, 2012

## Order vs. topology

Every  $T_0$ -topological space  $(X, \tau)$  carries an order, called **specialisation order**, defined by

$$x \leq_{\tau} y \quad :\Leftrightarrow \quad x \in \text{cl}\{y\}$$

On any ordered set  $(P, \leq)$  one can define the **Scott topology**  $\sigma_{\leq}$ :  $A \subseteq P$  is closed if  $A = \downarrow A$  and  $A$  is closed under existing directed suprema.

### Proposition.

- For any **sober** topological space  $(X, \tau)$ ,  $\tau \subseteq \sigma_{\leq_{\tau}}$
- For any ordered set  $(P, \leq)$ ,  $\leq = \leq_{\sigma_{\leq}}$
- For any dcpo,  $\sigma = \sigma_{\leq_{\sigma}}$

## From dcpo presentations to topological spaces

Every dcpo presentation  $(P; \sqsubseteq, C)$  gives rise to a (non- $T_0$ ) topological space  $(P; \tau)$  whose closed sets are exactly the  $C$ -ideals.

This uses the fact that finite unions of  $C$ -ideals are again  $C$ -ideals, which follows from the restriction to **directed sets**  $U$  in our definition of covers  $[a \triangleleft U]$ .



## From dcpo algebra presentations to semitopological algebras

Every dcpo algebra presentation  $(P; \Omega_P, \sqsubseteq, C)$  gives rise to a semitopological algebra, meaning that the operations in  $\Omega_P$  are continuous in each argument separately with respect to the topology of  $C$ -ideals.

## Completing semitopological algebras — first attempt

Every topological space  $(P; \tau)$  is topologically embedded in the lattice  $\Gamma$  of its closed subsets:

$$\eta: x \mapsto \text{cl}(x) = \downarrow x$$

where  $\Gamma$  is equipped with the topology  $\mathcal{T}$  of opens

$$\diamond O := \{A \in \Gamma \mid O \cap A \neq \emptyset\}, \quad O \in \tau$$

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In our setting,

$$\begin{aligned} \Gamma &= C\text{-Idl}(P) \\ \eta &= \eta \end{aligned}$$

## Completing semitopological algebras — first attempt

**Theorem.** *The operations of a semitopological algebra  $P$  can be extended uniquely to its lattice  $\Gamma$  of closed subsets such that they preserve suprema in each argument:*

$$\omega(A_1, \dots, A_n) := \text{cl}\{\omega(a_1, \dots, a_n) \mid a_i \in A_i\}$$

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However:

**Theorem.**  $\Gamma$  satisfies only the *linear* inequations valid in  $P$ .

$$x + (y + z) = (x + y) + z \text{ is linear}$$

$$x + x = x \text{ is not linear}$$

## Completing semitopological algebras — second attempt

The **sobrification** of a topological space is known to produce a dcpo in the specialization order. There is a **standard way** to construct the sobrification as a subspace of  $\Gamma$ :

**Definition. [Grothendieck and Dieudonné, 1971]**

*The **strong topology** on a topological space  $(X, \tau)$  is given as the join of  $\tau$  and the lower Alexandroff topology wrt the order of specialization.  
(generic open:  $O \cap \downarrow x$ )*

If  $(X; \tau)$  is  $T_0$  then the strong topology is Hausdorff and indeed totally disconnected because  $\downarrow x = \text{cl}\{x\}$  is closed and open for all  $x \in X$ .

# Completing semitopological algebras — second attempt

**Theorem. [Grothendieck and Dieudonné, 1971]**

*The closure  $\overline{\overline{P}}$  of  $\eta(P)$  in  $\Gamma$  wrt the *strong topology* is the sobrification of  $(P; \tau)$ .*

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However:

**Theorem.**

*$\overline{\overline{P}}$  still only satisfies the *linear* inequations valid in  $P$ .*

## Completing semitopological algebras — third attempt

**Definition.** A topological space  $(P; \tau)$  is called a *monotone convergence space* if the specialization order  $\leq_\tau$  is directed-complete and directed sets *converge* to their suprema wrt  $\tau$ .  
(Alternatively, if  $\tau$  is contained in the Scott topology  $\sigma_{\leq_\tau}$ .)

**Proposition.** The forgetful functor from **MCS** to **Top** has a left adjoint  $F$ .

We call the image  $F(X)$  of a topological space  $X$  its *D-completion*.

## Completing semitopological algebras — third attempt

Again, there is a **standard way** to construct this left adjoint as a subspace of  $\Gamma$ :

### **Definition. [Wyler, 1981]**

*The closed sets of the  **$d$ -topology** on a poset are the sub-dcpo.*

The  $d$ -topology is always Hausdorff and indeed totally disconnected because  $\downarrow x$  is always clopen.

## Completing semitopological algebras — third attempt

**Theorem. [Wyler, 1981, Keimel & Lawson, 2008]**

*The closure  $\overline{P}$  of  $\eta(P)$  in  $\Gamma$  wrt the  $d$ -topology is the  $D$ -completion of  $(P; \tau)$ .*

## Completing semitopological algebras — third attempt

**Theorem.** [Wyler, 1981, Keimel & Lawson, 2008]

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**Theorem.**

$\overline{P}$  satisfies *all* inequations valid in  $P$ .

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*$\overline{P}$  satisfies **all** inequations valid in  $P$ .*

**Aside:** The proof uses Scott continuity wrt the specialization order.

## Comparison

$C\text{-Idl}(P)$	$(\Gamma, \mathcal{T})$	linear inequations
UI	UI	
$\overline{\overline{P}}$	sobrification	linear inequations
UI	UI	
$\overline{P}$	$D$ -completion	all inequations
UI	UI	
$(P; \overline{\approx}, C)$	$(P; \tau)$	



I. Motivation and Examples

II. The Main Results

III. Applications

IV. Technical Details

V. The Topological View and Generalisation

**VI. Open Problems**

## Beyond inequations

Are there other logical formulae that are preserved in the completion process?

## Beyond Universal Algebra

Under which conditions can these techniques be extended to more general notions of “algebra”?

## $C$ -spaces and domain algebras

**Observation.** [Ershov, Ern e]

*Abstract bases can be equivalently described as  $C$ -spaces: every point has a neighbourhood basis of sets of the form  $\uparrow x$ .*

**Proposition.** *The  $D$ -completion, the sobrification, and the round ideal completion of a  $C$ -space all coincide.*

## $C$ -spaces and domain algebras

### **Observation.** [Ershov, Ern e]

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**Proposition.** *The  $D$ -completion, the sobrification, and the round ideal completion of a  $C$ -space all coincide.*

Is there a simple argument that shows that free algebras exist for  $C$ -spaces?

(Replacing the construction of Jung & Abramsky 1994.)

# Papers

- A. Jung, M. A. Moshier, and S. J. Vickers. Presenting dcpos and dcpo algebras. In A. Bauer and M. Mislove, editors, *Proceedings of the 24th Conference on the Mathematical Foundations of Programming Semantics*, volume 218 of *Electronic Notes in Theoretical Computer Science*, pages 209–229. Elsevier Science Publishers B.V., 2008
- K. Keimel and J. D. Lawson.  $D$ -completions and the  $d$ -topology. *Annals of Pure and Applied Logic*, 159:292–306, 2008
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